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November 30, 2007

From: John H. Lindemann

420229

To:	Company:	Fax Number:	Phone Number:
Commissioner for Patents	U.S. Patent Office	(571) 273-8300	

Number of Pages Transmitted (including this cover sheet): 9

Message:

U.S. Patent Application Serial No. 10/813,993
Filed: March 31, 2004
Entitled: Systems And Methods For Minimizing
Aberrating Effects In Imaging Systems
L&G No. 420229

If you have a problem receiving this facsimile, please call: (720) 931-3000


Fax Attendant:

Karen

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CERTIFICATE OF FACSIMILE TRANSMISSION 37 CFR 1.8			Matter No.
Applicant(s): Edward Raymond Dowski, Jr., et al.			420229
Serial No.	Filing Date	Examiner	Group Art Unit
10/813,993	March 31, 2004	Sheela C. Chawan	2624
Invention Systems And Methods For Minimizing Aberrating Effects In Imaging Systems			
<p>I hereby certify that the following:</p> <p>Facsimile Cover Sheet (1 page);</p> <p>Transmittal Form (1 page);</p> <p>Resubmission of Deficiency Payment to Correct Error in Small Entity Status (6 pages);</p> <p>authorization to charge Deposit Account No. 12-0600 in the amount of \$3273;</p> <p>and authorization to charge additional fees that may be required, or credit any overpayment, to Deposit Account No. 12-0600; are being sent via facsimile transmission to Commissioner For Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on this 30th day of November, 2007</p>			
<div style="text-align: right;">Karen Jodzio-Head <small>Name of Transmitter</small></div>			
<div style="text-align: right;"> <small>Signature of Transmitter</small></div>			
<div style="text-align: right;">(571) 273-8300 <small>Facsimile No.</small></div>			

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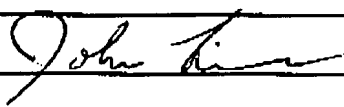
PTO/SB/21 (09-04)

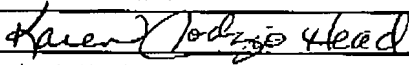
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TRANSMITTAL FORM (to be used for all correspondence after initial filing)	Application Number	10/813,993
	Filing Date	March 31, 2004
	First Named Inventor	Edward Raymond Dowski, Jr.
	Art Unit	2624
	Examiner Name	Sheela C. Chawan
Total Number of Pages in This Submission	Attorney Docket Number	420229

ENCLOSURES (check all that apply)		
<input checked="" type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Reply to Missing Parts/ Incomplete Application <input type="checkbox"/> Reply to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____ <input type="checkbox"/> Landscape Table on CD	<input type="checkbox"/> After Allowance Communication to TC <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): Resubmission of Deficiency Payment to Correct Error in Small Entity Status Certificate of Facsimile Facsimile Cover Sheet
Remarks		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT			
Firm	LATHROP & GAGE LC		
Signature			
Printed Name	John Lindemann		
Date	November 30, 2007	Reg. No.	54,273

CERTIFICATE OF TRANSMISSION/MAILING			
I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.			
Signature			
Typed or printed name	Karen Jodzio-Head	Date	November 30, 2007

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Attorney Docket No.: 420229****IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant(s)	Edward Raymond Dowski, et al.	Examiner	Chawan, Sheela C.
Serial No.	10/813,993	Group Art No.	2624
Filed	March 31, 2004	Confirmation No.	6078
For	Systems And Methods For Minimizing Aberrating Effects In Imaging Systems		

November 30, 2007

Commissioner for Patents
P.O Box 1450
Alexandria, VA 22313-1450

**RESUBMISSION OF DEFICIENCY PAYMENT TO
CORRECT ERROR IN SMALL ENTITY STATUS**

On August 10, 2007, a Deficiency Payment to Correct Error In Small Entity Status was efiled. To date, our deposit account has not been charged for the deficiency payment. Attached is a copy of the deficiency payment as filed. Applicants hereby authorize the above-referenced \$3273 fee be charged to Deposit Account No. 12-0600.

Respectfully submitted,

Date: 30 Nov 2007By: 

John Lindemann, Reg. No. 54,273
Lathrop & Gage L.C.
4845 Pearl East Circle, #300
Boulder, CO 80301
Telephone: (720) 931-3018
Facsimile: (720) 931-3001

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PATENT
Attorney Docket No.: 420229**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant(s)	Edward Raymond Dowski, et al.	Examiner	Chawan, Sheela C.
Serial No.	10/813,993	Group Art No.	2624
Filed	March 31, 2004	Confirmation No.	6078
For	Systems And Methods For Minimizing Aberrating Effects In Imaging Systems		

August 10, 2007

Commissioner for Patents
P.O Box 1450
Alexandria, VA 22313-1450

NOTIFICATION OF LOSS OF ENTITLEMENT TO SMALL ENTITY STATUS

(37 CFR §§ 1.27(g)(2) and 1.28(c))

Applicant hereby notifies the Patent And Trademark Office that small entity status is not appropriate for this patent, and that the claim for small entity status as set forth in the original filing of the application for U.S. Patent is hereby withdrawn.

Applicant submits herein a deficiency transmittal and payment of \$3273 as required under 37 CFR 1.27(g)(2). With this submission, Applicant has complied with all of the requirements for excusal of error in small entity status under 37 CFR 1.28(c). Applicant therefore requests that the error in small entity status be excused, and further requests acceptance of the deficiency payment.

Applicant believes no further fees are due, however, if any fee is deemed necessary in connection with this filing, please charge Deposit Account No. 12-0600.

Respectfully submitted,

Date: 10 Aug 2007

By: John Lindemann
John Lindemann, Reg. No. 54,273
Lathrop & Gage L.C.
4845 Pearl East Circle, #300
Boulder, CO 80301
Telephone: (720) 931-3018
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PATENT
Attorney Docket No.: 420229

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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August 10, 2007

Commissioner for Patents
P.O Box 1450
Alexandria, VA 22313-1450

DEFICIENCY PAYMENT TO CORRECT ERROR IN SMALL ENTITY STATUS

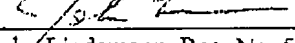
Pursuant to 37 CFR 1.28(c)(1) and (2) an itemization and payment of deficiency owed are hereby submitted. The undersigned attorney requests under § 1.28(d) that the deficiency payment be treated as a notification under § 1.27(g)(2) of the loss of entitlement to small entity status.

Fee Description	Date	Fee Paid	Corrected Fee Amount	Deficiency Due
Filing Fee	3/31/2004	\$385	\$300	\$(85)
Search Fee			\$500	\$500
Exam Fee			\$200	\$200
Claim in excess of 20	3/31/2004	\$126	\$700	\$574
Independent claims in excess of 3	3/31/2004	\$215	\$1000	\$785
Extra claims (7)	11/23/2004	\$63	\$350	\$287
Extra Independent claims (2)	11/23/2004	\$88	\$400	\$312
Issue and Publication Fee	08/18/2006	\$1000	\$1700	\$700
Total Deficiency Payment Owed:				\$3273

Applicants hereby authorize the above-referenced \$3273 fee be charged to Deposit Account No. 12-0600. Applicant believes no further fees are due, however, if any fee is deemed necessary in connection with this filing, please charge Deposit Account No. 12-0600.

Respectfully submitted,

Date: 10 Aug 2007

By: 
 John Lindemann, Reg. No. 54,273
 Lathrop & Gage L.C.
 4845 Pearl East Circle, #300
 Boulder, CO 80301
 Telephone: (720) 931-3018
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PTO/SB/17 (07-07)

Approved for use through 08/30/2010. OMB 0551-0032

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Effective on 12/08/2004. Fees pursuant to the Consolidated Appropriations Act, 2005 (H.R. 4818). FEE TRANSMITTAL For FY 2007		Complete if Known	
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27		Application Number	10/813,993
TOTAL AMOUNT OF PAYMENT (\$)		Filing Date	March 31, 2004
		First Named Inventor	Edward Raymond Dowski
		Examiner Name	Chawan Sheela, C
		Art Unit	2624
		Attorney Docket No.	420229

METHOD OF PAYMENT (check all that apply)

☐ Check ☐ Credit Card ☐ Money Order ☐ None ☐ Other (please identify): _____

☒ Deposit Account Deposit Account Number: 12-0600 Deposit Account Name: _____

For the above-identified deposit account, the Director is hereby authorized to: (check all that apply)

☒ Charge fee(s) indicated below ☐ Charge fee(s) indicated below, except for the filing fee
☒ Charge any additional fee(s) or underpayments of fee(s) under 37 CFR 1.16 and 1.17 ☒ Credit any overpayments

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FEE CALCULATION**1. BASIC FILING, SEARCH, AND EXAMINATION FEES**

Application Type	FILING FEES		SEARCH FEES		EXAMINATION FEES		Fees Paid (\$)
	Fee (\$)	Small Entity Fee (\$)	Fee (\$)	Small Entity Fee (\$)	Fee (\$)	Small Entity Fee (\$)	
Utility	300	150	500	250	200	100	
Design	200	100	100	50	130	65	
Plant	200	100	300	150	160	80	
Reissue	300	150	500	250	600	300	
Provisional	200	100	0	0	0	0	

2. EXCESS CLAIM FEES

Fee Description	Fee (\$)	Small Entity Fee (\$)
Each claim over 20 (including Reissues)	50	25
Each independent claim over 3 (including Reissues)	200	100
Multiple dependent claims	360	180
Total Claims		
Extra Claims	Fee (\$)	Fee Paid (\$)
- 20 or HP = _____ x _____ = _____		
HP = highest number of total claims paid for, if greater than 20.		
Indep. Claims		
Extra Claims	Fee (\$)	Fee Paid (\$)
- 3 or HP = _____ x _____ = _____		
HP = highest number of independent claims paid for, if greater than 3.		

3. APPLICATION SIZE FEE

If the specification and drawings exceed 100 sheets of paper (excluding electronically filed sequence or computer listings under 37 CFR 1.52(e)), the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).

Total Sheets	Extra Sheets	Number of each additional 50 or fraction thereof	Fee (\$)	Fee Paid (\$)
- 100 = _____	/ 50 = _____	(round up to a whole number) x _____		

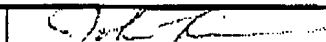
4. OTHER FEE(S)

Non-English Specification, \$130 fee (no small entity discount)

Other (e.g., late filing surcharge): Deficiency Payment

Fees Paid (\$)

3273

SUBMITTED BY		Registration No.	Telephone
Signature		54,273	720-931-3018
Name (Print/Type) <u>John Lindemann</u>		(Attorney/Agent)	Date <u>August 10, 2007</u>

This collection of information is required by 37 CFR 1.136. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 30 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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